

# EXECUTIVE

# **AGENDA**

## TEXAS HISTORICAL COMMISSION

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### AGENDA EXECUTIVE COMMITTEE

DoubleTree Suites by Hilton Hotel  
Bluebonnet Room  
303 W. 15<sup>th</sup>. Street  
Austin, TX 78701  
October 28, 2021  
2:45 p.m.

*(or upon the adjournment of the 2:30 p.m. Finance and Government Relations committee meeting, whichever occurs later)*

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*This meeting of the THC Executive committee has been properly posted with the Secretary of State's Office according to the provisions of the Texas Open Meetings Act, Chapter 551, Texas Government Code. The members may discuss and/or take action on any of the items listed in the agenda. NOTE: The THC Executive Committee may go into executive session (close its meeting to the public) on any agenda item if appropriate and authorized by the Open Meetings Act, Texas Government Code, Chapter 551.*

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#### 1. Call to Order

- A. Committee member introductions
- B. Establish quorum
- C. Recognize and/or excuse absences

#### 2. Consider approval of the Executive Committee meeting minutes

- A. July 14, 2021(Dallas)
- B. July 27, 2021(Austin)

#### 3. Internal Audit Program – *Miller*

- A. Consider approval of Annual Internal Audit Plan FY2022 (Item 7.4)
- B. Internal Audit Annual Report FY 2021

#### 4. Consider the adoption of the rule review and the re-adoption of the following chapters of Title 13, Texas Administrative Code, Part 2: Chapter 11 – Administration; Chapter 12 – Tx Historic Courthouse Preservation Program; Chapter 14 – Tx Historical Artifacts Acquisition program; Chapter 15 – Administration of Federal Programs; Chapter 16 – Historic Sites; Chapter 19 – Texas Main Street Program; Chapter 20 – Awards; Chapter 22 – Cemeteries; Chapter 23 – Publications; Chapter 25 – Office of the State Archeologist; and Chapter 26 – Practice & Procedure, as published in the August 13 2021 issue of the *Texas Register*, 46 TexReg 5067 (Item 7.6) – *Wolfe*

#### 5. Consider approval of recommendations for 2021 Texas Historical Commission Preservation Awards – (Item 16.2) *Wolfe/Sadnick*

#### 6. Consider approval of Hurricane Harvey Emergency Supplemental Historic Preservation Fund grant award to alternate projects (Item 16.3) – *Hart*

#### 7. Discussion and possible action regarding the Historic Buildings and Structures Antiquities Permit #1082 related to the relocation of two Confederate monuments from the Bastrop County Courthouse Square, Bastrop, Bastrop County (item 16.4) – *Wolfe*

#### 8. Human Resources Update – *Miller*

#### 9. Information technology update – *Miller*

**10. Committee Chairman's Report**

- A. Ongoing Projects; and
- B. Updates and Upcoming Events

**11. Adjourn**

*NOTICE OF ASSISTANCE AT PUBLIC MEETINGS: Persons with disabilities who plan to attend this meeting and who may need auxiliary aids or services such as interpreters for persons who are deaf or hearing impaired, readers, large print or Braille, are requested to contact [esther.brickley@thc.texas.gov](mailto:esther.brickley@thc.texas.gov) at least four (4) business days prior to the meeting so that appropriate arrangements can be made.*

JULY 14, 2021  
MINUTES  
DALLAS

## TEXAS HISTORICAL COMMISSION

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### MINUTES EXECUTIVE COMMITTEE

Ritz-Carlton Hotel  
Oak Meeting Room  
2121 McKinney Ave.  
Dallas, TX 75201  
July 14, 2021  
12:30 p.m.

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*Note: For the full text of action items, please contact the Texas Historical Commission at P.O. Box 12276, Austin, TX 78711 or call 512-463-6100.*

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#### 1. Call to Order

The meeting of the Texas Historical Commission (THC) Executive Committee was called to order by Chairman John Nau at 12:32 p.m. on July 14, 2021. He announced the meeting had been posted to the *Texas Register*, was being held in conformance with the Texas Open Meetings Act, Texas Government Code, Chapter 551 and that notice had been properly posted with the Secretary of State's Office as required.

##### A. Committee member introductions

Introductions made around the table included Chairman John Nau, Vice-Chair Catherine McKnight, Secretary Garrett Donnelly, Commissioner John Crain, Commissioner Pete Peterson, Commissioner Daisy White, and Commissioner Earl Broussard. Additional participant included Executive Director Mark Wolfe.

##### B. Establish quorum

Chairman Nau reported a quorum was present and declared the meeting open.

##### C. Recognize and/or excuse absences

There were no absences to report.

#### 2. Update on THC Spanish translation efforts

Executive Director Mark Wolfe provided an updated report on the agency's translation efforts including Casa Navarro interpretation and reopening; media contacts; the launch of a Quick Response (QR) code pilot program on Capitol markers that enabled a Spanish translation of the text; digital versions of translated thematic travel guides; and exploration of a translation widget for the agency website. Discussion followed regarding the use of student interns and professional translators to assist in the agency's efforts; the feasibility of attaching QR codes to each marker and developing a strategy toward that effort; and identifying a region of the state to use as a test model.

#### 3. Report on Bastrop County monument status

Chairman Nau provided a recap of his visit to Bastrop and meeting with County Judge Paul Pape. He noted that there was a clear intent by the Judge and the County commissioners to relocate two confederate monuments, but

the optional locations identified were not ideal for educational interpretation. He stated that he planned on meeting with the Mayor of Bastrop to arrive at a resolution to the placement of the monuments.

#### **4. Presentation and possible action regarding the Battleship Texas**

Chairman Nau reminded the committee members that a presentation on the Battleship Texas had been made at a previous quarterly meeting for consideration of Permit #1081. He explained that the timeline had been difficult to understand, and a request was made to present a clearer timeline. Executive Director Mark Wolfe explained that, in accordance with a 99-year lease stipulated in Texas Senate Bill 1511, 83d Leg., R.S. (2019), the Texas Parks and Wildlife Department was applying for Historic Buildings and Structures permit application #1081 on behalf of the Battleship Texas Foundation. He reported that the permit covered the rehabilitation of the Battleship Texas superstructure and the scope included repairing decks, bulkheads, overheads, structural members, fittings, and the reinstallation of drains and windows. Wolfe further noted that the affected areas of the ship included the aft fire control tower, foremast, mainmast, smokestack, superstructure deck, and turrets. Battleship Texas Foundation President and CEO Anthony Gregory, Valkor Energy Services COO Aldo Benavides, and Valkor Energy Services Project Manager Brad Currin presented an overview of the tow plan including a snapshot of phase I; phase II; onsite vessel preparation; engineering details; dry dock purchase; shipyard selection; and an estimated project timeline. The team reported they expected to submit a permit amendment request at the October 2021 quarterly meeting to tow the Battleship for repairs. Questions and discussion ensued regarding the availability of skilled labor; potential delays; COVID impact; funding; quality assurance; insurance coverage; and anticipated homeport. In closing, the team presented a tow plan summary which included pre-tow operations and tow route. Vice-Chair Catherine McKnight moved, Commissioner Daisy White seconded, and the committee voted unanimously to authorize the Executive Director to issue Historic Buildings and Structures Antiquities Permit #1081 to rehabilitate the superstructure of Battleship Texas BB35, La Porte, Harris County, as described in the permit application.

#### **5. Discussion and possible action regarding the Waco historical marker text**

Chairman Nau provided a brief background on the subject of the marker and the social media interactions that led to a slight revision of the marker text. He thanked Vice-Chair McKnight and Commissioner White for their revisions that were mutually agreeable to all concerned parties. He stated that consideration of the marker text would be on the July 27, 2021 quarterly meeting agenda.

#### **6. Discussion and possible action regarding the Texas Governor's Mansion**

Director Wolfe reported that a number of permits would be presented to the executive committee and the full commission at their July 2021 quarterly meeting and provided a brief background on each permit. He provided an overview of the proposed work and noted there were no concerns from the staff. In closing, Wolfe stated that he expected the committee would recommend the approval to move forward.

#### **7. THC Committee structure and recommendations**

A discussion was held regarding committee structures and quarterly meeting schedules including the advantages and disadvantages of restructuring committees. Chairman Nau asked the members to submit their thoughts to Vice-Chair McKnight for further recommendations.

## **8. Ad Hoc committee report**

On behalf of the Ad Hoc committee, Commissioner Pete Peterson provided various recommendations including reviewing and revising the commission's vision and mission statements; aligning agency strategy documents with its goals; possible committee modifications; pros and cons of the current committee structures versus a committee of the whole; revising the 2-day meeting structure; focusing on creating relevant educational products; and reviewing client services.

## **9. Discussion regarding staff presentations**

Vice-Chair McKnight and Secretary Garrett Donnelly reported on the redundancy of the committee and commission presentations. Discussion followed regarding the committee structures and meeting flow with a consensus that thoughts gathered by Vice-Chair McKnight as noted in a previous discussion regarding committee structure recommendations would follow over the next few months.

## **10. Committee Chairman's Report**

Chairman Nau reported on the status of the legislative proceedings to date and the positive outlook regarding the agency budget. He reported on various ongoing projects including the possible restoration of the battlefield at the San Jacinto State Historic Site (SHS); ongoing discussions with the Texas Facilities Commission regarding the maintenance of the Texas Centennial Markers; possible mitigation regarding the request to partially release the covenants at the Naval Weapons Industrial Reserve Plant in Dallas; insurance reimbursement for the fire at the San Felipe de Austin SHS; reconstruction of the visitor center and grass house at the Caddo Mounds SHS; future legislative races and upcoming elections; assimilation of the Texas Holocaust, Genocide, and Anti-Semitism Advisory Commission; the positive direction of the revised Alamo masterplan; anticipated work at the Alamo; and the supplemental funds awarded by the legislature to Tyler, Newton, and Mason counties for courthouse restorations.

## **11. Adjourn**

There being no further business, the meeting was adjourned at 4:18 p.m.

JULY 27, 2021  
MINUTES  
AUSTIN

# TEXAS HISTORICAL COMMISSION

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## MINUTES EXECUTIVE COMMITTEE

Capitol Extension  
Room E1.030  
1400 N. Congress Ave.  
Austin, TX 78701  
July 27, 2021  
3:15 p.m.

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*Note: For the full text of action items, please contact the Texas Historical Commission at P.O. Box 12276, Austin, TX 78711 or call 512-463-6100.*

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### 1. Call to Order

The meeting of the Texas Historical Commission (THC) Executive Committee was called to order by Chairman John Nau at 9:02 a.m. on July 27, 2021. He announced the meeting had been posted to the *Texas Register*, was being held in conformance with the Texas Open Meetings Act, Texas Government Code, Chapter 551 and that notice had been properly posted with the Secretary of State's Office as required. Chairman Nau also stated that the committee meeting had been originally scheduled for July 26, 2021 but, due to the unexpected schedule delays, the executive committee meeting had been postponed until July 27, 2022, at 9 a.m.

#### A. Committee member introductions

Chairman Nau welcomed everyone, and introductions were made around the table.

#### B. Establish quorum

Chairman Nau reported a quorum was present and declared the meeting open.

#### C. Recognize and/or excuse absences

All committee members were present.

### 2. Consider approval of the Executive Committee meeting minutes

#### A. April 14, 2021

#### B. April 27, 2021

Commissioner John Crain moved to approve the minutes of the April 14, 2021 and April 27, 2021 Executive Committee meeting. Commissioner Garrett Donnelly seconded the motion. Discussion ensued and Executive Director Mark Wolfe explained that a typographical error on the April 14, 2021 minutes required a correction. He stated that page 2, paragraph 3 made a reference to the "Bastrop County Judge" and should read "Denton County Judge" instead. Commissioner Crain and Commissioner Donnelly both accepted the correction and the committee voted unanimously to approve the April 27, 2021 minutes as submitted and the April 14, 2021 minutes as corrected.

### 3. Consider approval of intent to review and consider for re-adoption, revision or repeal, the following chapters in Title 13 of the Texas Administrative Code, Part 2: Chapter 11 – Administration; Chapter 12 – Tx Historic Courthouse Preservation Program; Chapter 14 – Tx Historical Artifacts Acquisition program; Chapter 15 – Administration of Federal Programs; Chapter 16 – Historic Sites; Chapter 19 – Texas Main Street Program; Chapter 20 – Awards; Chapter 22 – Cemeteries; Chapter 23 – Publications; Chapter 25 – Office of the State Archeologist; and Chapter 26 – Practice & Procedure for publication in the *Texas Register*

THC Executive Director Mark Wolfe reported that each state agency is required by Texas Government Code, Section 2001.39 to review and consider for re-adoption their rules in the Texas Administrative Code every four

years. He explained that the Commission would accept comments for 30 days following publication of the notice in the *Texas Register* as to whether the reasons for adoption of these rules continue to exist. Commissioner Pete Peterson moved, Commissioner Daisy White seconded, and the committee voted unanimously to send forward to the commission the recommendation to approve THC's intent to review and consider for re-adoption, revision or repeal, the following chapters of Title 13 of the Texas Administrative Code, Part 2 for publication in the *Texas Register*:

- Chapter 11 – Administration;
- Chapter 12 – Tx Historic Courthouse Preservation Program;
- Chapter 14 – Tx Historical Artifacts Acquisition Program;
- Chapter 15 – Administration of Federal Programs;
- Chapter 16 – Historic Sites;
- Chapter 19 – Texas Main Street Program;
- Chapter 20 – Awards;
- Chapter 22 – Cemeteries;
- Chapter 23 – Publications;
- Chapter 25 – Office of the State Archeologist; and
- Chapter 26 – Practice & Procedure

**4. Consider approval of the Project Fundraising Priorities list requiring private funds in excess of \$50,000 for FY 2022**

THC Chief Development Officer Anjali Zutshi presented the list of project fundraising priorities for FY 2022 and referred commissioners to their updated handout. Questions followed regarding the format of the list and specific line items. Executive Director Mark Wolfe noted that the list not only included projects of \$50,000 but all fundraising projects by division. He also explained that the list of projects was developed by the Friends of the THC, with input from, and consultation with, the division directors of each THC division, as well as with final review by the Executive Director. He further stated that the list of projects would be forwarded to the Board of the Friends of the THC for their consideration at their quarterly board meeting on July 30, 2021. Commissioner Crain moved, Commissioner White seconded, and the committee voted unanimously to send forward to the commission, the recommendation to approve projects as presented and to request that the Friends proceed with fundraising.

**5. Consider confirmation of appointment/reappointments to the Board of Trustees of the Friends of the Texas Historical Commission**

Zutshi provided a brief background on the appointments/reappointments to the Friends' Board of Trustees. She explained that the trustees were appointed for three-year terms and ensured that the activities of the organization supported the preservation efforts of the THC. Vice-Chair Catherine McKnight moved, Commissioner Peterson seconded, and the committee voted unanimously to send forward to the commission, the recommendation to confirm the re-appointment of Donna Carter, Sehila Mota Casper, and Brian Shivers as Commission Trustees of the Friends of the Texas Historical Commission for another three-year term (FY 2022-2024), and the appointment of Courtney Read Hoffman and Wes Reeves as new Commission Trustees of the Friends of the Texas Historical Commission for a three-year term (FY 2022-2024).

## **6. Consider final approval of the conditionally approved funding recommendations for the FY 2022 Texas Preservation Trust Fund (TPTF) Grant Program**

Executive Director Wolfe explained that the TPTF Advisory Board was preparing to meet to review the project proposals in September 2020 when Texas state agencies were directed to reduce their general revenue by 5 percent in the Fall of 2020. He explained that the mandate resulted in the loss of funds earmarked for the FY 2021 Texas Preservation Trust Fund grant awards however, the Advisory Board proceeded with their meeting and developed funding recommendations that were considered by the Commission on October 28, 2020. Per the October quarterly meeting minutes, the Commission voted unanimously to conditionally approve \$247,187.50 in funding recommendations for the FY 2021 TPTF Grant Program as per the TPTF Funding Recommendations table; and the Commission would consider making final awards when and if funding comes available. Executive Director Wolfe further explained that the legislature returned the 5 percent to the agency by the end of the legislative session and the Commission could consider finalizing the grant awards. Architecture Division Director Bess Graham reported that the Conservation Legacy was the only project from the original list that would not proceed as they decided not to hold a Preserve America Youth Summit in Texas in 2022. As a result of that change, she noted that the first alternate project, LULAC Council #60 Clubhouse, Houston, Harris County, was now considered for funding instead. THC Secretary Garrett Donnelly moved, Commissioner White seconded, and the committee voted unanimously to send forward to the commission and recommend approval of the conditionally-approved funding recommendations for the Texas Preservation Trust Fund Grant Program for FY 2022 in the amount of \$232,187.50; the first alternate project LULAC Council Clubhouse in the amount of \$16,437.50; and to delegate authority to the Executive Director to award any funds returned or not utilized to fund additional alternate projects as identified in the attached table (Exhibit #1) in rank order. Funding for alternate projects to be capped at \$30,000.

## **7. Human Resources Update**

Deputy Executive Director of Administration Alvin Miller provided an update on the 360-feedback process. He explained that the process sought feedback from an employee's subordinates, colleagues, and supervisor(s), as well as a self-evaluation by the employee themselves. Miller explained that a 360-degree feedback solicited feedback regarding an employee's behavior from a variety of points of view (subordinate, lateral, and supervisory) In closing, Miller reported the process was in its final stages of completion.

## **8. Information technology update**

Miller presented an overview on the response to an internal audit and IT assessment. He explained that the implementation of recommendations included strengthening THC's security measures involving password compliance and centralization of administrative rights. Questions and discussion followed regarding various security measures throughout the agency.

## **9. Committee Chairman's Report**

In the interest of time, Chairman Nau announced he would provide his report at the full commission meeting.

## **10. Adjourn**

The meeting was adjourned at 9:29 a.m.

**ANNUAL INTERNAL AUDIT PLAN  
FY2022**

**TEXAS HISTORICAL COMMISSION**

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**Item 7.4**  
Texas Historical Commission  
Quarterly Meeting  
October 28-29, 2021

**Consider approval of FY2022 Annual Internal Audit Plan**

**Background:**

Chapter 2102 of the Government Code requires the internal audit plan be risk-based and include areas identified through a risk assessment process. The attached document presents the proposed fiscal year 2022 Internal Audit Plan for review and approval in accordance with the Texas Internal Auditing Act.

The annual audit plan was developed using a risk assessment framework. Agency sources for potential engagement and auditable activities were identified; agency risk factors were examined using a weighted average risk measurement scoring system; risk assessment results were evaluated; and the audits based on the risk measurement score were prioritized. The engagements selected were based on the quantified risk priorities and the agency's risk strategy.

**Suggested Executive Committee Motion:**

Move that the committee send forward to the Commission and recommend approval of the Fiscal Year 2022 Annual Internal Audit Plan.

**Full Commission:**

Consent Item



**TEXAS HISTORICAL COMMISSION**  
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# **TEXAS HISTORICAL COMMISSION FY 2022 ANNUAL INTERNAL AUDIT PLAN**

As Prepared by  
McConnell & Jones LLP



**McCONNELL & JONES LLP**  
CERTIFIED PUBLIC ACCOUNTANTS

## Table of Contents

Section	Page Number
<i>1.0 Compliance with Texas Government Code, Section 2102.015: Posting the Audit Plan and Annual Report on the Internet.....</i>	<i>2</i>
<i>2.0 Purpose .....</i>	<i>2</i>
<i>3.0 Risk Assessment.....</i>	<i>2</i>
<i>4.0 Fiscal Year 2022 Annual Internal Audit Plan.....</i>	<i>3</i>
<i>5.0 Significant Interim Changes .....</i>	<i>4</i>



October 29, 2021

The Honorable Greg Abbott, Governor  
Members of the Legislative Budget Board  
Members of the Sunset Advisory Commission  
Internal Audit Coordinator, State Auditor

Dear Ladies and Gentlemen:

Attached is the FY 2022 Annual Internal Audit Plan for the Texas Historical Commission (THC). McConnell & Jones LLP (MJ). The Annual Internal Audit Plan will enable the THC to comply with the Texas Internal Auditing Act, Texas Government Code Chapter 2102 as amended by House Bill 2485 during the 78th Legislature and House Bill 16 during the 83rd Legislature. MJ will execute this Annual Internal Audit Plan in accordance with The Texas Internal Auditing Act, The Institute of Internal Auditors' (IIA) International Standards for the Professional Practice of Internal Auditing, the IIA's Code of Ethics, and Generally Accepted Government Auditing Standards (GAGAS).

Please contact Darlene Brown at 713.968.1617 or Commissioner John H. Nau, III at 512.463.5767 if you should have any questions about this audit plan.

Sincerely,

Darlene Brown, CPA  
Partner

## **1.0 COMPLIANCE WITH TEXAS GOVERNMENT CODE, SECTION 2102.015: POSTING THE AUDIT PLAN AND ANNUAL REPORT ON THE INTERNET**

Texas Government Code, Section 2102.015, requires state agencies and institutions of higher education to post agency internal audit plans and internal audit annual reports to the agency's internet website within 30 days of approval. Texas Government Code, Section 2102.015, also requires agencies to update the posting on the website to include a detailed summary of any weaknesses, deficiencies, wrongdoings, or other concerns raised by the audit plan or annual report and include a summary of the actions taken by the agency to address the issues raised.

In accordance with requirements of Texas Government Code, Section 2102.015, MJ will provide the required internal audit plan, internal audit annual report and any other required internal audit information to the Texas Historical Commission's Deputy Executive Director who will ensure the information is posted to the THC's website.

## **2.0 PURPOSE**

The purpose of this document is to communicate the annual risk-based audit plan as approved by the Texas Historical Commission Commissioners, the methodology used to develop the annual audit plan, the timing and resource requirements necessary to complete the audit plan, and the communication of audit results and any significant interim changes to the annual audit plan.

The Annual Internal Audit Plan was developed based on a prioritization of the audit universe using the results of the risk assessment and input from the THC leadership team. Using our risk assessment framework, we identified the organizational sources for potential engagements and auditable activities; examined organizational risk factors; and prioritized the audits based on the risk rating. The engagements selected were based on the quantified risk priorities and the organization's risk strategy.

## **3.0 RISK ASSESSMENT**

Risk is defined as the possibility of an event occurring that will have an impact on the achievement of objectives. Risk is measured in terms of impact and likelihood. An organization's risk exposure is determined through the identification of risks and evaluating the impact on operations and likelihood of occurrence.

Risk assessments identify an organization's exposure to business disruptions and barriers to achieving the organization's strategic goals. They serve as a tool to focus limited resources to perform evaluations of controls that are in place to limit the exposure.

In accordance with Texas Internal Auditing Act and The Institute of Internal Auditors (IIA) Standard 2010.A1, this internal audit plan is based on a documented risk assessment and input of the THC leadership team. Our assessment evaluated risk exposures relating to the THC's governance, operations, and information systems regarding the reliability and integrity of financial and operational information; effectiveness and efficiency of operations; safeguarding of assets; and compliance with laws, regulations, policies and procedures, and contracts.

The types of risk exposure relevant to the THC are:

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- **Financial Exposure:** Financial exposure exists whenever an audit area is susceptible to errors or defalcations that affect the general ledger and financial statements or the integrity and safekeeping of agency assets, regardless of the financial statement impact.
- **Compliance Exposure:** Compliance exposure exists whenever an event in an audit area could cause the agency to fail to comply with regulations mandated by state or federal authorities, irrespective of whether financial exposure exists.
- **Information Exposure:** An information exposure exists whenever there is information of a sensitive or confidential nature, which could be altered, destroyed, or misused. This includes cyber security and data security.
- **Efficiency Exposure:** An efficiency exposure exists whenever agency resources are not being utilized in an effective or efficient manner.
- **Human Resource Exposure:** A human resource exposure exists whenever an area is managing human resources in a way, which is contrary to agency policy.
- **Environmental Exposure:** An environmental exposure exists whenever internal or external factors pose a threat to the stability and efficiency of an audit area. Examples of factors that affect environmental exposure are:
  - Recent changes in key personnel
  - Changing economic conditions
  - Time elapsed since last audit
  - Pressures on management to meet objectives
  - Past audit findings and quality of internal control
- **Public Service Exposure:** A public service exposure exists whenever an event in an audit area could jeopardize existing public services or new public services.
- **Reputational Exposure:** A reputational exposures exists whenever an event in the audit area could jeopardize the reputation of the agency and stakeholder trust.

MJ discussed the risk exposures with the THC leadership team.

#### 4.0 FISCAL YEAR 2022 ANNUAL INTERNAL AUDIT PLAN

MJ considered the short-term and long-term pandemic impact on agency operations and strategies for our risk assessment then updated the risks accordingly. We then consulted with management to develop this Annual Internal Audit Plan.

MJ will conduct one audit, follow-up on prior audit findings, update the risk assessment, prepare the FY 2023 Annual Internal Audit Plan, and prepare the FY 2022 Annual Internal Audit Report in accordance with the Texas Internal Auditing Act. These activities are estimated to require **224 hours** for a total annual budget not to exceed \$25,610. The planned audits, timing and estimated hours are summarized in the table below.

**FY 2022 Annual Internal Audit Plan Activities**

<b>Audit Activity #</b>	<b>Description</b>	<b>Risk Rating</b>	<b>Estimated Timing</b>	<b>Estimated Hours</b>
1	Courthouse Program ✓ Grant Management (Planning, Construction and Emergency) Processes and Controls ✓ Grant Award Criteria and Process ✓ Compliance with Texas Government Code, Section 442.008 County Courthouses ✓ Support and Assistance Processes and Documentation ✓ Systems and Tools Used to Monitor the Program	High	Nov. 2021 – Jan. 2022	204
2	Follow-Up on Prior Audit Findings	Compliance	March 2022	5
3	Update Risk Assessment	Compliance	July 2022	7
4	Annual Audit Plan	Compliance	July 2022	2
5	Annual Audit Report	Compliance	August 2022	2
6	Audit Communications, Committee Meetings, Project Management	N/A	All Year	4
	<b>Total</b>			<b>224</b>

**5.0 SIGNIFICANT INTERIM CHANGES**

Interim changes to the annual internal audit plan may occur from time to time due to changes in management direction, objectives, business risks, timing of initiatives, and staff availability. In accordance with IIA Performance Standard 2020, MJ will communicate any significant changes of the audit plan to THC executive management and present these changes to the THC's Commissioners for review and approval. Notification of significant changes to the Internal Audit Plan approved by the THC Commissioners will be submitted to the State Auditor's Office.

This annual audit plan was approved by the THC Commissioners.

**INTERNAL AUDIT REPORT**  
**FY2021**

# INTERNAL AUDIT ANNUAL REPORT FISCAL YEAR 2021



**TEXAS HISTORICAL COMMISSION**  
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Prepared By:



**McCONNELL & JONES LLP**  
CERTIFIED PUBLIC ACCOUNTANTS

## TABLE OF CONTENTS

Section	Page Number
<i>I. COMPLIANCE WITH TEXAS GOVERNMENT CODE, SECTION 2102.015: POSTING THE AUDIT PLAN AND ANNUAL REPORT ON THE INTERNET</i> .....	2
<i>II. FISCAL YEAR 2021 INTERNAL AUDIT PLAN STATUS</i> .....	2
<i>III. CONSULTING SERVICES AND NON-AUDIT SERVICES COMPLETED</i> .....	3
<i>IV. EXTERNAL QUALITY ASSURANCE REVIEW (PEER REVIEW)</i> .....	4
<i>V. INTERNAL AUDIT PLAN FISCAL YEAR 2022</i> .....	5
<i>VI. EXTERNAL AUDIT SERVICES PROCURED IN FISCAL YEAR 2021</i> .....	6
<i>VII. REPORTING SUSPECTED FRAUD AND ABUSE</i> .....	6
<i>VIII. SIGNIFICANT INTERIM CHANGES</i> .....	6
<i>IX. REPORT DISTRIBUTION</i> .....	7



October 29, 2021

The Honorable Greg Abbott, Governor  
Members of the Legislative Budget Board  
Members of the Sunset Advisory Commission  
Internal Audit Coordinator, State Auditor

Dear Ladies and Gentlemen:

Attached is the FY 2021 Internal Audit Annual Report for the Texas Historical Commission (THC). This report is submitted in accordance with the Texas Internal Auditing Act requirement for state agency internal auditors to prepare and distribute an annual report (Government Code, Chapter 2102). THC engaged McConnell & Jones LLP (MJ) to provide internal audit services to the agency in accordance with The Texas Internal Auditing Act. MJ submits this FY 2021 Annual Internal Audit Report on behalf of the THC Commissioners.

The Texas Internal Auditing Act requires agencies to file an annual report on their internal audit activities and the internal audit reports prepared for their governing board. The purpose of the Internal Audit Annual Report is to provide information on the assurance services, consulting services, and other activities of the internal audit function. In addition, the Internal Audit Annual Report assists oversight agencies in their planning and coordination efforts. According to Texas Government Code, Sections 2102.009 and 2102.0091, the FY 2021 Internal Audit Annual Report is due November 1, 2021.

Please contact Darlene Brown at 713.968.1617 or Chairman John L. Nau, III at 512.463.5767 if you should have any questions about this audit report.

Sincerely,

Darlene Brown, CIA, CFE  
Partner

## I. COMPLIANCE WITH TEXAS GOVERNMENT CODE, SECTION 2102.015: POSTING THE AUDIT PLAN AND ANNUAL REPORT ON THE INTERNET

Texas Government Code, Section 2102.015, requires state agencies and institutions of higher education to post agency internal audit plans and internal audit annual reports to the agency's internet website within 30 days of approval. Texas Government Code, Section 2102.015, also requires agencies to update the posting on the website to include a detailed summary of any weaknesses, deficiencies, wrongdoings, or other concerns raised by the audit plan or annual report and include a summary of the actions taken by the agency to address the issues raised.

In accordance with requirements of Texas Government Code, Section 2102.015, McConnell & Jones LLP (MJ) will ensure the required Annual Internal Audit Plan, Internal Audit Annual Report and any other required internal audit information is provided to the Texas Historical Commission for posting to their website.

## II. FISCAL YEAR 2021 INTERNAL AUDIT PLAN STATUS

McConnell & Jones LLP prepared the Fiscal Year 2021 Annual Internal Audit plan based on a comprehensive risk assessment which was approved by the THC commissioners. The table below reflects the status of the approved Internal Audit Plan as of August 31, 2021.

### Fiscal Year 2020 Internal Audit Plan Status

#	Description	Report Number	Report Date	Report Title	Audit Status
1	National Register and State Antiquities Landmark Designation Processes	21-001	December 4, 2020	State Antiquities Landmarks Program	Completed
2	Texas Historic Preservation Tax Credit Program	21-002	July 30, 2021	Tax Credit Program	Completed
3	Update Annual Risk Assessment & Audit Plan	N/A	N/A	N/A	Completed
4	Internal Audit Annual Report	N/A	N/A	N/A	Completed
5	Audit Communications, Project Management	N/A	N/A	N/A	On-going

### Deviations from FY 2021 Annual Internal Audit Plan

The FY 2021 Annual Internal Audit Plan was executed as originally approved with no deviations made.

### III. CONSULTING SERVICES AND NON-AUDIT SERVICES COMPLETED

Internal audit performed two (2) consulting and advisory engagements during FY 2021. They are described below.

#### *State Antiquities Landmarks Program*

Internal Audit performed a consulting and advisory services engagement of the State Antiquities Landmark (SAL) processes to assist with requirements gathering for designing a database for the Architecture's SAL processes. In doing so, we:

- Documented the SAL designation & permitting flow within Architecture division,
- Identified pain points, and
- Created a matrix to reflect roles of three divisions in SAL process, data needs, and their handoffs.

We focused on the following processes:

1. Architecture permitting process for State Antiquities Landmarks.
2. National Register Designation processes required prior to State Antiquities Landmarks nomination and permitting.

We worked with management to prepare and validate process flows of the SAL nomination and permitting processes. We also prepared a Business Requirements Matrix that identifies SAL roles and data between divisions.

#### *Tax Credit Program*

Internal Audit performed a consulting and advisory services engagement of the Tax Credit Program to assist with requirements gathering for designing a database for the Texas Historic Preservation Tax Credit processes:

- Documented the Tax Credit manual process flows within the Division of Architecture,
- Identified pain points, and
- Created a matrix of tax credit data that can be used by IT to develop requirements to digitize / automate the processes.

In doing so, we focused on the following processes:

1. Intake process for Tax Credit forms
2. Review and Approval of Tax Credit projects
3. Checkout process for Tax Credit projects
4. Periodic and ad hoc reporting and monitoring of Tax Credit projects

We worked with management to prepare and validate process flows of the Texas Historic Preservation Tax Credit Program intake, review and approval, checkout, and monitoring and reporting processes. Pain points are noted on the document. We also prepared a Business Requirements Matrix that identifies data that can be used by THC's Information Technology staff to develop requirements to digitize/automate the processes.

## IV. EXTERNAL QUALITY ASSURANCE REVIEW (PEER REVIEW)

MJ has been a member of the AICPA since 1987 and is subject to the AICPA’s peer review process every three years. Our commitment to quality is underscored by the fact that, in our four most recent peer reviews, we have consistently received an unqualified opinion in external peer review reports on the quality of our accounting and auditing practice by the AICPA. After a thorough review of our procedures and work practices, which include reviews of our nonprofit, governmental, and commercial engagements, the reviewers concluded that MJ complies with the stringent quality control standards established by the American Institute of Certified Public Accountants. We provide a copy of our most recent peer review letter below.



**Report on the Firm's System of Quality Control**

January 31, 2018

To the Partners of  
**McConnell & Jones, LLP**  
and the National Peer Review Committee

We have reviewed the system of quality control for the accounting and auditing practice of **McConnell & Jones, LLP** (the Firm), applicable to engagements not subject to PCAOB permanent inspection, in effect for the year ended June 30, 2017. Our peer review was conducted in accordance with the Standards for Performing and Reporting on Peer Reviews established by the Peer Review Board of the American Institute of Certified Public Accountants (Standards).

A summary of the nature, objectives, scope, limitations of, and the procedures performed in a System Review as described in the Standards may be found at [www.aicpa.org/practstandards](http://www.aicpa.org/practstandards). The summary also includes an explanation of how engagements identified as not performed or reported in conformity with applicable professional standards, if any, are evaluated by a peer reviewer to determine a peer review rating.

**Firm's Responsibility**

The Firm is responsible for designing a system of quality control and complying with it to provide the Firm with reasonable assurance of performing and reporting in conformity with applicable professional standards in all material respects. The Firm is also responsible for evaluating actions to promptly remediate engagements deemed as not performed or reported in conformity with professional standards, when appropriate, and for remediating weaknesses in its system of quality control, if any.

**Peer Reviewer's Responsibility**

Our responsibility is to express an opinion on the design of the system of quality control and the Firm's compliance therewith based on our review.

**Required Selections and Considerations**

Engagements selected for review included engagements performed under Government Auditing Standards, including a compliance audit under the Single Audit Act; audits of employee benefit plans and an audit of a non-carrying broker-dealer.

As a part of our peer review, we considered reviews by regulatory entities as communicated by the Firm, if applicable, in determining the nature and extent of our procedures.

Turner, Stone & Company, L.L.P.  
Accountants and Consultants  
12700 Park Central Drive, Suite 1400  
Dallas, Texas 75241  
Telephone: 972-319-1650 / Facsimile: 972-319-1645  
Toll Free: 877-855-4191  
Web site: [turnerstone.com](http://turnerstone.com)



**Opinion**

In our opinion, the system of quality control for the accounting and auditing practice of **McConnell & Jones, LLP**, applicable to engagements not subject to PCAOB permanent inspection, in effect for the year ended June 30, 2017, has been suitably designed and complied with to provide the Firm with reasonable assurance of performing and reporting in conformity with applicable professional standards in all material respects. Firms can receive a rating of *pass*, *pass with deficiencies*, or *fail*. **McConnell & Jones, LLP** has received a peer review rating of *pass*.

*Turner, Stone & Company, L.L.P.*

Turner, Stone & Company, L.L.P.

## V. INTERNAL AUDIT PLAN FISCAL YEAR 2022

MJ developed the Fiscal Year 2022 Annual Internal Audit Plan based on results of the annual risk assessment which focused on audit history and the short-term and long-term impact of the pandemic on agency operations. Our assessment evaluated risk exposures relating to the THC's governance, operations, and information systems regarding the reliability and integrity of financial and operational information; effectiveness and efficiency of operations; safeguarding of assets; and compliance with laws, regulations, and contracts.

MJ will conduct one audit, conduct follow-up activities related to prior audit findings, update the risk assessment, prepare the Fiscal Year 2023 Annual Internal Audit Plan, and prepare the Fiscal Year 2022 Annual Internal Audit Report in accordance with the Texas Internal Auditing Act. These activities are estimated to require **224 hours**. The planned audits, timing and estimated hours are summarized in the table below.

Contract management activities are not included in this audit plan. We performed an internal audit of information technology in FY 2019 and followed-up on recommendations in FY 2020. Additionally, we have not excluded high-risk areas that have not been audited within the previous five years from this audit plan.

### Fiscal Year 2022 Annual Internal Audit Plan Activities

Audit Activity #	Description	Risk Rating	Estimated Timing	Estimated Hours
1	Courthouse Program ✓ Grant Management (Planning, Construction and Emergency) Processes and Controls ✓ Grant Award Criteria and Process ✓ Compliance with Texas Government Code, Section 442.008 County Courthouses ✓ Support and Assistance Processes and Documentation ✓ Systems and Tools Used to Monitor the Program	High	Nov. 2021 – Jan. 2022	204
2	Follow-Up on Prior Audit Findings	Compliance	March 2022	5
3	Update Risk Assessment	Compliance	July 2022	7
4	Annual Audit Plan	Compliance	July 2022	2
5	Annual Audit Report	Compliance	August 2022	2
6	Audit Communications, Committee Meetings, Project Management	N/A	All Year	4
	<b>Total</b>			<b>224</b>

## **VI. EXTERNAL AUDIT SERVICES PROCURED IN FISCAL YEAR 2021**

External audit services procured in fiscal year 2021 consisted of internal audit services necessary to execute THC's internal audit plan.

## **VII. REPORTING SUSPECTED FRAUD AND ABUSE**

Texas Historical Commission has implemented measures to comply with Article IX, Section 7.10, the General Appropriations Act (81st Legislature) and Texas Government Code, Section 321.022. These measures include, but are not limited to, the following:

- The Texas Historical Commission included a link to the State Auditor's Office website for fraud reporting at the footer of the Texas Historical Commission website.
- The THC's Fraud Prevention Policy also includes information on how to report any known, alleged or suspected fraud or other illegal activities at the THC to management within the agency or directly to the State Auditor's Office.

## **VIII. SIGNIFICANT INTERIM CHANGES**

Interim changes to the annual audit plan may occur from time to time due to changes in management direction, objectives, business risks, timing of initiatives, and staff availability. In accordance with IIA Performance Standard 2020, MJ will communicate any significant changes of the audit plan to THC's executive management and present these changes to the THC Commissioners for review and approval. Notification of significant changes to the Internal Audit Plan approved by the Audit Committee will be submitted to the State Auditor's Office.

This annual internal audit report was provided to the THC Commissioners.

## **IX. REPORT DISTRIBUTION**

This report is being distributed to the following individuals.

### **Texas Historical Commission Members**

Mr. John Nau, III, Chair  
Ms. Donna Bahorich  
Mr. Earl Broussard, Jr.  
Mr. Jim Bruseth  
Ms. Monica Burdette  
Mr. John W. Crain  
Mr. Garrett Donnelly  
Ms. Renee Dutia  
Ms. Lilia Garcia  
Mr. David Gravelle  
Ms. Laurie Limbacher  
Ms. Catherine McKnight  
Mr. Tom Perini  
Mr. Gilbert E. Peterson  
Ms. Daisy Sloan White

### **Texas Historical Commission**

Mr. Mark Wolfe, Executive Director  
Mr. Alvin Miller, Deputy Executive Director

### **Oversight Agencies**

Governor's Office of Budget Planning and Policy  
Legislative Budget Board  
Internal Audit Coordinator, State Auditor's Office

TAB 4

## TEXAS HISTORICAL COMMISSION

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### Item 7.6

Texas Historical Commission  
Quarterly Meeting  
October 28-29, 2021

**Consider the adoption of the rule review and the re-adoption of the following chapters of Title 13, Texas Administrative Code, Part 2: Chapter 11 – Administration; Chapter 12 – Tx Historic Courthouse Preservation Program; Chapter 14 – Tx Historical Artifacts Acquisition program; Chapter 15 – Administration of Federal Programs; Chapter 16 – Historic Sites; Chapter 19 – Texas Main Street Program; Chapter 20 – Awards; Chapter 22 – Cemeteries; Chapter 23 – Publications; Chapter 25 – Office of the State Archeologist; and Chapter 26 – Practice & Procedure, without change as published in the August 13 2021 issue of the *Texas Register*, 46 TexReg 5067**

### **Background:**

The Texas Government Code, §2001.039, establishes a four-year rule review cycle for all state agency rules, including THC rules. The Texas Historical Commission (THC) filed notice of the proposed review of the Texas Administrative Code (TAC), Title 13, Part 2 with the *Texas Register* following the July 27, 2021 quarterly meeting. The official comment period began with publication of the notice of proposed review in the *Texas Register*. The filing of the notice of the proposed review soliciting comments as to whether the reason for adoption continues to exist does not preclude any amendments that may be proposed at different dates through a separate rulemaking process.

If authorized by the commission, the THC will file the notice of the adopted rule review and the re-adoption of the following chapters: Chapter 11 – Administration; Chapter 12 – Tx Historic Courthouse Preservation Program; Chapter 14 – Tx Historical Artifacts Acquisition program; Chapter 15 – Administration of Federal Programs; Chapter 16 – Historic Sites; Chapter 19 – Texas Main Street Program; Chapter 20 – Awards; Chapter 22 – Cemeteries; Chapter 23 – Publications; Chapter 25 – Office of the State Archeologist; and Chapter 26 – Practice & Procedure, without change as published in the August 13 2021 issue of the *Texas Register*, 46 TexReg 5067. The notice of adopted review will state that the THC finds the reasons for adopting the above-mentioned rules of the TAC continue to exist and re-adopts the rules. The THC received no comments related to the rule review.

### **Recommended motion (Committee):**

**Move that the Executive committee send forward to the Commission and recommend approval of the adoption of the rule review and the re-adoption the following chapters of the Texas Administrative Code, Title 13, Part 2:**

Chapter 11 – Administration  
Chapter 12 – Tx Historic Courthouse Preservation Program  
Chapter 14 – Tx Historical Artifacts Acquisition program  
Chapter 15 – Administration of Federal Programs  
Chapter 16 – Historic Sites  
Chapter 19 – Texas Main Street Program  
Chapter 20 – Awards  
Chapter 22 – Cemeteries  
Chapter 23 – Publications  
Chapter 25 – Office of the State Archeologist and  
Chapter 26 – Practice & Procedure

## TEXAS HISTORICAL COMMISSION

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### **Recommended motion (Commission):**

Move to approve the adoption of the rule review and the re-adoption of the following chapters of the Texas Administrative Code, Title 13, Part 2 without change as published in the August 13 2021 issue of the *Texas Register*, 46 TexReg 5067:

Chapter 11 – Administration  
Chapter 12 – Tx Historic Courthouse Preservation Program  
Chapter 14 – Tx Historical Artifacts Acquisition program  
Chapter 15 – Administration of Federal Programs  
Chapter 16 – Historic Sites  
Chapter 19 – Texas Main Street Program  
Chapter 20 – Awards  
Chapter 22 – Cemeteries  
Chapter 23 – Publications  
Chapter 25 – Office of the State Archeologist and  
Chapter 26 – Practice & Procedure

### **Adoption Preamble**

The Texas Historical Commission (the “commission”) adopts the review of Texas Administrative Code, Title 13, Part 2, Chapter 16, relating to Historic Sites. This review was completed pursuant to Texas Government Code §2001.039. The commission has assessed whether the reasons for adopting or re-adopting this chapter continue to exist. Each section of the above-mentioned chapters was reviewed to determine whether it was obsolete, reflected current legal and policy considerations, reflected current general provisions in the governance of the commission, and/or whether it was in compliance with Chapter 2001 of the Texas Government Code (Administrative Procedure Act). The commission proposed the review of 13 TAC Chapter 11 – Administration; Chapter 12 – Tx Historic Courthouse Preservation Program; Chapter 14 – Tx Historical Artifacts Acquisition program; Chapter 15 – Administration of Federal Programs; Chapter 16 – Historic Sites; Chapter 19 – Texas Main Street Program; Chapter 20 – Awards; Chapter 22 – Cemeteries; Chapter 23 – Publications; Chapter 25 – Office of the State Archeologist; and Chapter 26 – Practice & Procedure in the August 13 2021 issue of the *Texas Register*, 46 TexReg 5067.

Relating to the review of 13 TAC, the commission finds the reasons for adopting Chapters, 11, 12, 14, 15, 16, 19, 20, 22, 23, 25, and 26 continue to exist and readopts the rules. The commission received no comments related to the review. At a later date, the THC plans to propose revisions to clarify language in various chapters.

This concludes the review of 13 TAC Chapters 11 – Administration; Chapter 12 – Tx Historic Courthouse Preservation Program; Chapter 14 – Tx Historical Artifacts Acquisition program; Chapter 15 – Administration of Federal Programs; Chapter 16 – Historic Sites; Chapter 19 – Texas Main Street Program; Chapter 20 – Awards; Chapter 22 – Cemeteries; Chapter 23 – Publications; Chapter 25 – Office of the State Archeologist; and Chapter 26 – Practice & Procedure,

TRD – 202102942

Mark Wolfe  
Executive Director  
Texas Historical Commission  
Proposed review filed August 13, 2021

TAB 5

# TEXAS HISTORICAL COMMISSION

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Item 16.2  
Texas Historical Commission  
Quarterly Meeting  
October 28-29, 2021

## Consider approval of recommendations for 2021 Texas Historical Commission Preservation Awards

### **Background:**

The Texas Historical Commission (THC) offers awards to recognize worthy accomplishments and exemplary leadership in the preservation of Texas' heritage. Most awards are presented at the following year's Real Places Conference at an awards banquet. The Governor's Award is typically presented in a special ceremony involving the Governor's Office. The following recommendations for the 2021 awards are presented for the Commissioners' consideration.

**Recommended motion (Committee):** Move that the committee send forward to the Commission and recommend approval of THC Awards Committee recommendations as per the awards recommendations handout.

**Recommended motion (Commission):** Move to approve THC Awards Committee recommendations as per the awards recommendations handout.

# TEXAS HISTORICAL COMMISSION

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Item 16.2  
Texas Historical Commission  
Quarterly Meeting  
October 28-29, 2021

**Recommended Nominees:** Each of the following awards requires different criteria and scoring procedures, as provided in the guidelines available through the THC website. The THC Awards Committee determined final recommendations to be brought before the Commission, based on input from THC staff.

## **Governor's Award for Historic Preservation**

Recommended Nominee: Texas Dance Hall Preservation, Inc.

In its relatively brief existence, Texas Dance Hall Preservation Inc. (founded 2007) has established itself as one of the state's leading preservation advocacy groups through its focused mission, innovative programming, and music industry support. The organization's efforts are diverse and far-reaching, moving beyond the preservation of unique and iconic buildings to include the viability and cultural identity of the communities and neighborhoods historically served by those structures. It is an ongoing success story that has garnered solid partnerships, renewing important networks in the process and ensuring that future generations will still be able to hear Texas music where it was born and nurtured. When the pandemic hit, recognizing that dance halls operate on minimal profit, they put out a call to dance halls across the state, asking for applicants to let them know how much they would need just to keep the doors open. They received applications from 32 dance halls totaling \$265,509. Then TDHPI went to work, conducting a fund-raising drive during the pandemic. They picked up a small amount but attracted the attention of a generous donor who wrote them a check big enough to fund every request. That donor remains anonymous. TDHPI also provided an additional \$22,500 in grants from their annual grant fund, so this one organization distributed nearly \$300,000 in grants in 2020, when people needed it most

## **Ruth Lester Lifetime Achievement Award**

Recommended Nominee: Marshall J. Doke, Jr.

As a longtime advocate, volunteer, and donor, Marshall Doke has made a significant impact on historic preservation in Texas that will remain invaluable for decades to come. A retired attorney, Doke was as member of the Texas Historical Foundation (THF) board beginning 1993, served as chairman from 2000-2004, and is now a chairman emeritus. He was the driving force and a financial contributor to the organization's Texas Legal History Preservation Trust, which now bears his name and is designated for the preservation of materials related to the legal history of the Republic and State of Texa. He also secured funding to establish THF's Heritage Magazine Endowment, ensuring the future of the award-winning Texas history education publication. In addition to his volunteer efforts with the THF, Marshall Doke served on the advisory board of the Texas Ranger Hall of Fame and Museum in Waco and as Texas History Chair, Dallas Scottish Rite of Freemasonry, where he established and organized the annual Preserving Texas History Celebration, an educational and social event featuring speakers and other history-related activities.

## TEXAS HISTORICAL COMMISSION

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### **John Ben Shepperd County Historical Commission Leadership Award**

Recommended Nominee: B.F. Hicks, Franklin County Historical Commission

B.F. Hicks is known as a passionate preservation advocate who works with Franklin County Historical Commission (CHC) appointees and partners to find new ways to engage citizens and visitors in experiences that celebrate Texas history. Hicks and his fellow appointees carry out aggressive and well-balanced programs that influence and expand the preservation community. Under his direction, the Franklin CHC has cultivated a reputation for quality planning, participatory appointees, and strategic partnerships that result in successful preservation outcomes. The CHC's programming includes ongoing stewardship of historic sites, research and associated documentation, educational outreach particularly to area elementary students, and dynamic community events that celebrate local history and historic sites. Hicks' influence extends beyond the CHC to the many area preservation organizations that he supports with membership, board leadership, and professional in-kind services. His contributions affect regional and statewide preservation efforts that include many Texas Historical Commission initiatives like the Texas Preservation Trust Fund, Texas County Courthouse Preservation Program, and the Bankhead Highway survey efforts. B.F. Hicks typifies the purpose and spirit of the John Ben Shepperd CHC Leadership Award.

### **George Christian Outstanding Volunteer of the Year Award**

Recommended Nominee: Graciela Gonzales

The service efforts by Graciela Gonzales on behalf of her community and county typify the standards of the George Christian Outstanding Volunteer of the Year Award, and she serves as model for preservationists in the state of Texas. As chair of the Duval County Historical Commission, she made it a priority to develop a partnership with the Texas Tropical Trail Region, which continues through various events. Gonzales maintains strong relationships with other partnering organizations as well, including the county commissioners' court, and was instrumental in helping to secure funding for the Duval County Courthouse restoration project in 2020. When the pandemic affected preservation activities last year, Gonzales pivoted toward volunteer work and meetings that could be accomplished within the more restrictive environment, while still maintaining important activities. Her work is commendable, and supported by THC staff, local and county officials, and preservations around the state.

### **John L. Nau, III Award for Excellence in Museums**

Recommended Nominee: Bell County Museum

Bell County Museum's new permanent exhibit, "Discover Bell County," focuses on the county's history and is located on the first floor of the Belton Carnegie Library, which is part of the museum complex. It is the culmination of two years of careful research and planning conducted in-house by museum staff to create an intentionally relevant and wholistic theme-based history exhibit. In an effort to focus on enhancing the visitor experience, staff organized the exhibit by theme instead of the traditional chronological order found in many history exhibits, which allows for easier movement within the galleries and a broader learning about societal and economic trends outside of Bell County. Staff was also intentional about relevant storytelling and ensuring that all voices and stories, even the difficult ones, are represented in the exhibit. Community engagement, audience development, telling

## TEXAS HISTORICAL COMMISSION

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difficult and relevant stories are all areas that exemplify excellence in museums. Bell County Museum exemplifies what a small museum can accomplish as they meet or exceed current professional standards with a modest budget and small staff. The way Bell County Museum embraces current museum practice is a model to all museums, large and small.

### **Curtis D. Tunnell Lifetime Achievement Award in Archeology**

Recommended Nominee: Christopher R. Lintz

Chris Lintz currently serves as a Texas Historical Commission Archeology Steward and an Affiliated Researcher with the Center for Archaeological Studies at Texas State University. He received his PhD from the University of Oklahoma in 1984, with a dissertation on the Antelope Creek culture of the High Plains in Texas and Oklahoma. He is an expert on Plains archeology, but through his 46 years as a professional archeologist, he has also worked on archeological survey, testing, and data recovery projects in 17 states. He retired from Texas Parks and Wildlife Department after managing 53 wildlife management areas across the state. Lintz's broad research interests and unwavering curiosity have led him to publish more than 325 professional papers and contract reports focusing on the early history of Plains archeology, lithic and ceramic analyses, exchange and interaction networks, paleo-climatic investigations, and many other topics. He has presented over 100 papers at professional meetings and public and university lectures, and over half of his professional publications have focused on Texas archeology. Recently, he was made Fellow of the Texas Archeological Society and, he is continually researching and publishing on his interests.

### **Anice B. Read Award of Excellence in Community Heritage Development**

Recommended Nominee: Beverly Abell

Since 2018, Beverly Abell has been the Downtown Development Manager for Mesquite Texas, capping a 25-plus year career in downtown revitalization and development through the lens of historic preservation and the national Main Street program. She has left an impact on communities in Texas, Oklahoma, and Colorado with combined downtown reinvestments exceeding \$100 million during her tenures. A distinguished Main Street Manager and inaugural recipient of the Susan Campbell Award for Professional Excellence from the Texas Downtown Association, Beverly has sustained a high level of local and statewide revitalization projects. She is known for her unstinting willingness to offer guidance to colleagues across the state and nation. With more than two decades of successful experience in a variety of downtowns, Abell has been invited to speak at numerous conferences and has published several articles. Abell established the Downtown Development program in Mesquite and brought the community into the Texas Main Street Program. She has been active and successful instigating the restoration of downtown façades, having created a \$250,000 Downtown Mesquite Façade Improvement Grant fund. Thanks to Abell's leadership, the city now has a series of entrepreneurial workshops; adopted the International Existing Building Code to make rehabilitations more feasible; and created a landmark commission. Members of Abell's community express gratitude for her enduring optimism and can-do attitude. She has a long list of accomplishments, each supporting immeasurable positive effects on businesses, historic buildings, and the lives of her friends and neighbors.

## TEXAS HISTORICAL COMMISSION

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### **Award of Excellence in Preserving History**

Recommended Nominee: Southlake Historical Society

Bob and Almeady Jones, from the historic Jones family of Southlake, were both born into slavery, but were able to overcome numerous obstacles to become successful farm and ranch owners and important figures for the Southlake community. The Southlake Historical Society's research into their lives and the accompanying exhibit, "Bob and Almeady Chisum Jones: A True Story of Resilience, Courage and Success," add understanding and context to the story of the family and has helped shed light on an underrepresented aspect of North Texas history. The exhibit is an informative display which provides great detail using photographs, documents, oral interviews, and other sources to help the public understand the significance of the story within a regional, statewide, and national context. It has been on display at several locations including Southlake Town Hall and White's Chapel United Methodist Church, which has made the information available to a wide group of visitors. It currently resides at the Bob Jones Nature Center on land that was once owned by the Jones Family, which furthers helps the public understand the history of the site's namesake and his family and community. Through its efforts, the Southlake Historical Society has led to a greater understanding of history at the local and state level.

### **Award of Excellence in Historic Architecture**

Recommended Nominee: MRE Capital

By making use of a strong combination of financial incentive programs, including state and federal Historic Tax Credits as well as Low Income Housing Tax Credits, MRE Capital has rehabilitated significant historic properties in three small Texas cities: Cisco, Plainview, and Harlingen. In each case, MRE took on a large, long-vacant anchor building in a historic downtown, made necessary repairs and improvements, and brought it back into use for affordable housing. The rehabilitations of these "white elephant" buildings have been nothing short of transformative for these small cities, and THC staff anticipates these projects will lead to improved economic vitality in their respective downtowns. All three of these projects display a commendable commitment to taking on challenging, long-abandoned buildings in smaller Texas communities, while also demonstrating successful coordination with THC's programs, and they represent high-quality preservation work that was achieved even within the budgetary constraints and time limits of the LIHTC program.

### **Award of Excellence in Media Achievement**

Recommended Nominee: "Texas Time Travel Stories" on Radio Caravan

A vital measure of how media can benefit the THC and historic preservation in general is the audience which mass media generates. "Texas Time Travel Stories" on Radio Caravan offers a truly unique opportunity for the agency, our partners, and perhaps most importantly, smaller heritage travel destinations—including museums, communities, and our own State Historic Sites—who may lack dedicated budgets and resources to market their offering to new audiences. Radio Caravan offers "new" audiences—first and second-generation Hindi and Telegu-speakers in the DFW Metroplex, and others potentially across the world through the station's online streaming—an audience largely lacking in the education about Texas history and civics provided by Texas public schools, and very likely unaware of the many heritage travel and education opportunities in the metroplex and beyond.

## **TEXAS HISTORICAL COMMISSION**

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Additionally, the direct partnership with THC is a reliable one that has offered nearly two years of benefits on a weekly basis. An opportunity to reach this audience during the classic morning drive time slot for five minutes every week is one deserving of prominent recognition by the agency.

TAB 6

# TEXAS HISTORICAL COMMISSION

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Item 16.3  
Texas Historical Commission  
October Quarterly Meeting  
October 28-29, 2021

## **Consider approval of Hurricane Harvey Emergency Supplemental Historic Preservation Fund grant award to alternate projects**

### **Background**

Congress appropriated \$50 million from the Historic Preservation Fund to address the impacts of Hurricanes Harvey, Irma, and Maria, which occurred in 2017. In August 2018, the Texas Historical Commission (THC) applied to the National Park Service to receive an apportionment of these funds to assist affected historic properties in Texas. Staff received official notice on March 8, 2019 of the successful grant award in the amount of \$12,318,047. In August of 2019, the NPS awarded THC an additional \$200,000 for a mid-century modern survey and elevation study, bringing the grant funding total to \$12,518,047.

The THC Commission awarded 39 projects in May and July 2019 for a total of \$8,373,401. In addition, eight projects were identified as alternate projects. The Commission reserved \$626,599 in grant funding for distribution to alternate project funding, program-required National Register nominations and possibly supplementing already awarded projects. To date, three alternate projects have been awarded funding by the Commission. In August 2021, Sam Houston State University withdrew their three buildings from the program. Austin Hall was allocated \$250,000; Sam Houston Memorial Museum was allocated \$102,831; and the Peabody Memorial Library was allocated \$247,300. These projects total \$600,131.

There is adequate reserve to fund three alternate projects. The highest-ranking project remaining on the alternate list, Scottish Rite Temple, 2128 Church Street, Galveston, Galveston County submitted a letter of interest to move forward with grant-funded repair work. The next project in rank on the alternate list, Sociedad Mutualista Obrera Mexicana (SMOM), 8404 Canal Street, Houston, Harris County submitted a letter of interest to move forward with grant-funded repair work. The third in rank, the Caroline Gilbert Hinchee House, 1814 Park Street, Beaumont, Jefferson County also submitted a letter of interest to move forward with grant-funded repair work. If approved, the following requests will total \$738,000.

### **Recommended motion (Committee):**

Move to send forward to the Commission and recommend approval of funding from the Hurricanes Harvey, Irma and Maria Emergency Supplemental Historic Preservation Fund Grant program to:

1. Scottish Rite Temple, 2128 Church Street, Galveston, Galveston County in the amount of \$250,000; and
2. Sociedad Mutualista Obrera Mexicana (SMOM), 8404 Canal Street, Houston, Harris County in the amount of \$238,000; and
3. Caroline Gilbert Hinchee House, 1814 Park Street, Beaumont, Jefferson County in the amount of \$250,000.

### **Recommended motion (Commission):**

Move to approve funding from the Hurricanes Harvey, Irma and Maria Emergency Supplemental

## TEXAS HISTORICAL COMMISSION

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Historic Preservation Fund Grant program to:

1. Scottish Rite Temple, 2128 Church Street, Galveston, Galveston County in the amount of \$250,000; and
2. Sociedad Mutualista Obrera Mexicana (SMOM), 8404 Canal Street, Houston, Harris County in the amount of \$238,000; and
3. Caroline Gilbert Hinchee House, 1814 Park Street, Beaumont, Jefferson County in the amount of \$250,000.

## HIM ESHPF Alternate Projects:

City	County	Project Name	Project Description	Score
Houston	Harris	Fire Station #3 FUNDED	Replace roof, 2 <sup>nd</sup> floor restoration	64.0
Corpus Christi	Nueces	Ritz Theater FUNDED	Repair roof, condition assessment, restoration plan	64.0
Refugio	Refugio	Anaqua Home FUNDED	Porch repair to storm standards	63.8
Galveston	Galveston	Scottish Rite Temple	Repair roof, walls, windows	62.8
Houston	Harris	Sociedad Mutualista Obrera Mexicana (SMOM)	Repair roof, structural damage	62.5
Beaumont	Jefferson	Caroline Gilbert Hinchee House	Temporary roof, weatherization, stabilization drainage	62.3
Brookshire	Waller	Waller County Museum (Donigan House)	Flood assessment and mitigation, structural work	62.0
Multiple	Multiple	Freedom Colonies strategic & tech plan	Plan and test methodology for integrated engagement and survey	59.5

# Sam Houston State University

*A Member of The Texas State University System*

## FACILITIES MANAGEMENT OFFICE OF THE VICE PRESIDENT

September 9, 2021

Dear Lisa,

At this time Sam Houston State University will be withdrawing from all grant requests in reference to Austin Hall, Peabody Memorial Library, and the Sam Houston Memorial Museum. While preservation of these historical properties is top priority for Sam Houston State University, the twenty-year easement hinders the future expansion and care of our institution and buildings that are in immediate proximity to these historical properties.

Should the twenty-year easement be reduced, or if the surrounding perimeter is minimized Sam Houston State University will reconsider our participation.

Thank you to the Texas Historical Commission for the dedication to preserving the magnificent history in the state of Texas.

Sincerely,



Juan Nuñez

Vice President  
Facilities Management  
Sam Houston State University

# *Ancient and Accepted Scottish Rite of Freemasonry*

MOTHER CONSISTORY OF TEXAS SCOTTISH RITE MASONRY  
VALLEY OF GALVESTON - ORIENT OF TEXAS  
2128 Church Street- Galveston, Texas 77550-2030



**Brack Jones Jr., 33°**

VALLEY CHAIRMAN and  
PERSONAL REPRESENTATIVE  
of SGIG



**Roy A. De Gesero, 33°**

GENERAL SECRETARY

September 16, 2021

Ms. Lisa Hart  
Program Coordinator, Disaster Assistance Program  
Division of Architecture  
P.O. Box 12276,  
Austin, Texas 78711-2276

Dear Ms. Hart,

The Scottish Rite Temple Association (SRTA) is very interested in being considered for a \$250,000 grant to proceed with urgently needed structural repairs to the Scottish Rite Cathedral (aka Temple) located at 2128 Church Street, Galveston, TX 77550.

The SRTA is part of the Ancient and Accepted Scottish Rite of Freemasonry (AASR), the parent organization that owns the Cathedral. The SRTA maintains the 1929 building for the AASR. I am responsible for operations in both organizations.

The THC's \$250,000 grant will be used to design and begin urgently needed structural repairs to the "fly gallery", that part of the building atop the roof that houses our authentic vaudeville scene drops. Hurricane Harvey caused this large masonry structure to "tear". Our former windstorm insurance agency provided less than 10% of the estimated repair funds so other sources must be sought. The February 2021 freeze made the Harvey damage more apparent.

With THC's and others' support, the Scottish Rite Cathedral can continue to grow into an important part of Galveston's vivid historical and cultural scene. The Cathedral and its genuine vaudeville theater and scenery offer a truly unique venue to present the captivating history, music, art, and culture of our area. Our 501(c)(3) group, the Galveston Theatre and Arts Partnership, Inc (GTAP) is promoting same. On March 3, 2020, GTAP hosted an Office of the Governor's "Film Friendly Texas" Workshop. On September 10, 2021, GTAP hosted the Baytown Little Theater's play, "Galveston Wind". THC's support will help to enable many more, similar activities in the Cathedral, sharing Galveston and preserving this remarkable building for future generations. Thank you in advance for your consideration.

Yours truly,

*Roy A. De Gesero*

Roy A. De Gesero

Phone: 409-762-5162

Email: [galtxs@comcastnet](mailto:galtxs@comcastnet)

Webpage: [Galvestonscottlshrite.org](http://Galvestonscottlshrite.org)



Sociedad Mutualista Obrera Mexicana

**S · M · O · M**

Community Service Center

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• P. O. Box 680733 • Houston, Texas 77268-1213 • 832.454.8811 •

[www.smomhouston.org](http://www.smomhouston.org)

September 9, 2021

Email: [lisa.hart@thc.texas.gov](mailto:lisa.hart@thc.texas.gov)

Disaster Assistance Program

Texas Preservation Trust Fund Emergency Grant Program

Re: Letter of Intent

Dear Ms. Hart, Program Coordinator,

Thank you for your phone call today concerning the grant we submitted. As I stated in our conversation, we are very much still interested in obtaining the grant funding. Any information needed to help the committee make their decision I will gladly provide it. Please feel free to contact me at any time. *Again, Thank you!*

Yours for a Greater Community,

*s/ Cj Ortiz Alves*

Cj Ortiz ~Alves

President

SMOM

832-454-8811

[cjalves602@uahoo.com](mailto:cjalves602@uahoo.com)

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S.M.O.M organization is a 501(c)(3) charitable organization, registered under the Texas State Charitable Contributions Act, Federal Identification Number is 74-6157748. Donations are tax-exempt as allowed by law. S.M.O.M. was founded to assist families during times of crisis and provides its building and other related resources to the community.

Caroline Gilbert Hinchee House Project  
17SEP2021



Office: 2090 Broadway Beaumont, TX 77701

Dear Lisa Hart,

The Caroline Gilbert Hinchee House Project is still moving forward. Hurricane Imelda and Covid have been very hard on our fundraising efforts. Any and all considerations from the THC are greatly appreciated.

Please let us know what other documentation we can provide to the THC Commissioners meeting in October and if it would be OK to attend the meeting in person to answer any last minute questions.

Yes, we are still very interested in any Harvey Grant funding available.

Thank you for all you do,

Ed.

Joseph E Touchet  
Owner, BPSofTX, llc  
Founder of the CGHH Project (nonprofit)



TAB 7

## TEXAS HISTORICAL COMMISSION

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### Item 16.4

Texas Historical Commission  
October Quarterly Meeting  
October 28-29, 2021

### **Discussion and possible action regarding the Historic Buildings and Structures Antiquities permit #1082 related to the relocation of two Confederate monuments from the Bastrop County Courthouse Square, Bastrop, Bastrop County**

#### **Background**

In 1910, the United Daughters of the Confederacy erected a gray granite obelisk on the 1883 Bastrop County Courthouse square, twenty-seven years after the construction of the courthouse and jail. The sixteen-foot-tall obelisk rests on a five-foot square plinth. Inscribed on the obelisk's base is *In memory of the Confederate Soldiers at Bastrop County 1861- 1865. "Tell it as you may, it can never be told, sing it as you will, it never can be sung, the story of the glory of the men who wore the gray"*.

The obelisk was designed and built by Frank Teich who owned a granite quarry near Llano and ran a monument shop, first in San Antonio and then later in Llano. He designed and installed several monuments in Texas in the late 1800s and early 1900s including the Volunteer Firemen monument on the capitol grounds (base by Teich, 1896), the Dallas Confederate monument (1897, recently disassembled), the Confederate monument on the state capitol grounds (base and structure by Teich, bronze sculptures by Coppini, 1903), the Dick Dowling monument in Houston (base by Teich, statue sculpted in Italy 1904, recently disassembled), the Confederate monument in Marshall (1906), the General Tom Green monument in Austin (1909), and the Confederate monument in Gonzales (1909). He also supplied granite for a number of buildings constructed during this period.

In addition to the obelisk, a plain pink granite tablet was installed by the State of Texas in 1963 as part of a statewide initiative to commemorate the centennial of the Civil War, and in this case, Bastrop native Major Joseph D. Sayers. The marker text reads as follows:

*Born Mississippi, came to Texas 1851. Enlisted here as private 1861. Adjutant 5th Texas Cavalry in Arizona-New Mexico Campaign to make Confederacy an ocean-to-ocean nation. At age 20 made captain for gallantry in Battle of Valverde. Organized Valverde Battery from cannons captured there. Commanded battery in Red River Campaign 1863 to prevent capture of the Mississippi. Promoted to Major after Camp Briskland, La. battle in which wounded. Returned on crutches as chief-of-staff to General Tom Green, serving in 1864 Red River Campaign to prevent invasion of Texas. Wounded Battle Mansfield, La. returning to service as soon as he could ride a horse. After Green's death, placed on staff of General Richard Taylor, participating in 1865 Alabama actions. This was the last command to surrender east of Mississippi. Reached Bastrop on crutches. State Senator 1873. Lieutenant Governor 1879-80. U.S. Congressman 1884-98. Helped to secure long-overdue pay for Texas Ranger services on the frontier. Texas Governor 1899-1903. He worked to expand agriculture, industry. Tenure marked by Spindletop gusher, the start of modern petroleum industry in Texas, and by disasters of Huntsville State Prison burning, 1899 widespread Brazos River floods, great Galveston Storm 1900. Buried Fairview Cemetery -- A Memorial to Texans Who Served the Confederacy.*

## TEXAS HISTORICAL COMMISSION

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Both monuments are located on the same plot at the northeast corner of the square facing Pine Street and located to the east of the sidewalk leading to the courthouse's primary entrance.

On July 27, 2020, the Bastrop County Commissioners Court, resolved to move the monuments by appointing a ten-person committee to locate a suitable site and raise private funding, estimated at \$50,000, to cover the costs. On February 8, 2021, the court approved a plan to move the two monuments to a two-acre county tract in Lake Bastrop Acres on the site of historic Camp Swift. The county-owned site (at Texas Hwy 95 and Cool Water Drive) is located six miles north of the courthouse and slated to become a county heritage park.

On April 26, 2021, the Antiquities Advisory Board moved to recommend approval and forward to the Commission the motion to authorize the Executive Director to issue Permit #1082. At the next quarterly meeting on July 26, 2021, the Commission moved to delegate authority to the Executive Committee of the Texas Historical Commission to make any necessary decision with respect to the disposition of Confederate monuments on the Bastrop County Courthouse Square.

As part of the 1883 Bastrop County Courthouse square, the monuments are protected under Section 442.008 of the Texas Government Code ("Courthouse Law"), as a Recorded Texas Historic Landmark (1964), and as a State Antiquities Landmark (1981). The courthouse and square were also listed on the National Register of Historic Places (1975) and contribute to the Bastrop Courthouse Square Historic District (1978).

### Staff Recommendation

Under the Texas Administrative Code Title 13, Part 2, Chapter 26, Subchapter D, Rule 26.20(2), "The commission must be notified of any anticipated...work to a landmark or *the site associated with a landmark.*"

According to 13 TAC Section 26.22(7), the requirements for a relocation permit are as follows:

*Under most circumstances, a permit to relocate a building or structure from its original site will not be issued unless the commission has been satisfied that there is a real and unavoidable threat to the building or structure's existence, and that the applicant has made a thorough effort to find the means to preserve the building or structure on its original site. If relocation is unavoidable, the building or structure should be relocated to a site that resembles its original setting as closely as possible. A relocation permit will require thorough documentation of the relationship between the building or structure and its existing site and documentation of the proposed new site and placement of the building or structure to demonstrate that the new site and setting are comparable to the original. An archeological investigation of both the old and new site locations may also be required.*

Division of Architecture (DOA) staff has reviewed the application for Permit #1082 and found the attached documentation to be sufficiently complete.

The commission may authorize the permit as written, apply special conditions to the permit, request additional information for review, request a revised scope of work, or deny the permit.

## TEXAS HISTORICAL COMMISSION

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### Possible Motions (Committee):

Move to send forward to the Commission and recommend **approval to authorize** the Executive Director to **issue** Historic Buildings and Structures Antiquities Permit #1082 for the relocation of two Confederate monuments from the Bastrop County Courthouse square, Bastrop, Bastrop County with the following conditions:

- Bastrop County will maintain ownership and custody of the monuments and reinstall them within 12 months to its new location at Bastrop County Heritage Park; and
- Bastrop County will develop the new Bastrop County Heritage Park to include a welcoming but secure fence and gate, as well as other security measures to protect the monuments in their new location before the monuments are erected there; and
- Bastrop County will provide interpretation of the monuments; which shall include:
  - A description of the history of slavery in America and its causal impact on the Civil War; and
  - A description of the African American experience as that experience related to the legacy of the Civil War at the time of the monuments' initial construction in 1910 and 1963; and
- Bastrop County will consent to the continuing jurisdiction of the THC for the administration of the permit sought in this application.

OR

Move to send forward to the Commission and recommend **denial** of Historic Buildings and Structures Antiquities Permit #1082 for the relocation of two Confederate monuments from the Bastrop County Courthouse square, Bastrop, Bastrop County.

### Possible Motions (Commission):

Move to **authorize** the Executive Director to **issue** Historic Buildings and Structures Antiquities Permit #1082 for the relocation of two Confederate monuments from the Bastrop County Courthouse square, Bastrop, Bastrop County with the following conditions:

- Bastrop County will maintain ownership and custody of the monuments and reinstall them within 12 months to its new location at Bastrop County Heritage Park; and
- Bastrop County will develop the new Bastrop County Heritage Park to include a welcoming but secure fence and gate, as well as other security measures to protect the monuments in their new location before the monuments are erected there; and
- Bastrop County will provide interpretation of the monuments; which shall include:
  - A description of the history of slavery in America and its causal impact on the Civil War; and
  - A description of the African American experience as that experience related to the legacy of the Civil War at the time of the monuments' initial construction in 1910 and 1963; and
- Bastrop County will consent to the continuing jurisdiction of the THC for the administration of the permit sought in this application.

OR

Move to **deny issuance** of Historic Buildings and Structures Antiquities Permit #1082 for the relocation of two Confederate monuments from the Bastrop County Courthouse square, Bastrop, Bastrop County.

TEXAS HISTORICAL COMMISSION

ANTIQUITIES PERMIT APPLICATION

Historic Buildings and Structures

GENERAL PROJECT INFORMATION

Please complete the following. See detailed instructions, How to Complete the Antiquities Permit Application for Historic Buildings and Structures, for additional information.

1. Property Name and Location
NAME OF STATE ANTIQUITIES LANDMARK
Bastrop County Courthouse
ADDRESS CITY COUNTY ZIP CODE
804 Pecan Street Bastrop Bastrop 78602

2. Project Name
NAME OR BRIEF DESCRIPTION OF PROJECT WORK
Relocation of Confederate Monuments from Bastrop County Courthouse Lawn

3. Applicant (Owner or Controlling Agency)
OWNER/AGENCY REPRESENTATIVE TITLE
Bastrop County Paul Pape County Judge
ADDRESS CITY STATE ZIP CODE
804 Pecan Street Bastrop TX 78602
PHONE EMAIL
512-332-7201 paul.pape@co.bastrop.tx.us

4. Architect or Other Project Professional
NAME/FIRM REPRESENTATIVE TITLE
Deep In The Heart Art Foundry
ADDRESS CITY STATE ZIP CODE
405 S. Jackson St. Bastrop TX 78602
PHONE EMAIL
512-321-7868 clint@deepintheheart.net

5. Construction Period
PROJECT START DATE PROJECT END DATE
March 2021 September 2021

PERMIT CATEGORY

Please select the category that best describes the proposed work. (Pick one.)

- Preservation, Reconstruction, Relocation, Rehabilitation, Architectural Investigation, Demolition, Restoration, Hazard Abatement, New Construction

ATTACHMENTS

For all projects, please attach the following:

- Written description of the proposed project;
Project documents (plans, specifications, etc.); and
Photographs of the property showing areas of proposed work.

Application reports may be required based on the project work or at the request of Texas Historical Commission staff. Please indicate if the following are provided with your application:

- Historic Structure Report, Architectural Documentation, Historical Documentation, Archeological Documentation

PROPERTY NAME: Bastrop County Courthouse

COUNTY: Bastrop

**CERTIFICATIONS**

The applicant and project professional must complete, sign, and date the following certifications. The Texas Historical Commission's Rules of Practice and Procedure and the Secretary of the Interior's Standards for the Treatment of Historic Properties are available through links from the Antiquities Permits page on our website at [www.thc.texas.gov/preserve/projects-and-programs/state-antiquities-landmarks/antiquities-permits](http://www.thc.texas.gov/preserve/projects-and-programs/state-antiquities-landmarks/antiquities-permits). Standard permit terms and conditions are listed in the detailed instructions, How to Complete the Antiquities Permit Application for Historic Buildings and Structures. Special conditions may also be included in a permit. Please contact Texas Historical Commission staff with any questions regarding the Rules, our procedures, and permit requirements prior to signing and submitting a permit application.

**Applicant's Certification**

I, Paul Pape, as legal representative of the Applicant, Bastrop County, do certify that I have reviewed and approved the plans and specifications for this project. Furthermore, I understand that failure to conduct the project according to the approved contract documents and the terms of this permit may result in cancellation of the permit.

Signature Paul Pape Date 3/12/21

**Project Professional's Certification**

I, \_\_\_\_\_, as legal representative of the Firm, \_\_\_\_\_, do certify that I am familiar with the Texas Historical Commission's Rules of Practice and Procedure and the Secretary of the Interior's Standards for the Treatment of Historic Properties. Furthermore, I understand that submission of a completion report is required for all Historic Buildings and Structures Permits. Furthermore, I understand that failure to conduct the project according to the Rules, Standards, approved contract documents, and the terms of this permit may result in cancellation of the permit.

Signature \_\_\_\_\_ Date \_\_\_\_\_

**SUBMISSION**

Please submit the completed permit application in hard copy with original signatures to the mailing or physical address below, or electronically with scanned signatures to [hspermit@thc.texas.gov](mailto:hspermit@thc.texas.gov). Attachments, including plans and photographs, must be sent to the mailing address below or delivered to 108 West 16th St., Second Floor, Austin, TX 78701.

Texas Historical Commission  
 Division of Architecture  
 P.O. Box 12276  
 Austin, TX 78711-2276  
 512.463.6094  
 fax 512.463.6095  
[architecture@thc.texas.gov](mailto:architecture@thc.texas.gov)



**TEXAS HISTORICAL COMMISSION**  
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[www.thc.texas.gov](http://www.thc.texas.gov)

## RESOLUTION AND ORDER REGARDING RELOCATING CERTAIN MONUMENTS AND MEMORIALS ON THE BASTROP COUNTY COURTHOUSE LAWN

Whereas, the Courthouse is the focal point of civil and criminal justice for all citizens of Bastrop County, and as the seat of government represents freedom and equality for all; and

Whereas, there are several monuments and memorials on the Courthouse lawn honoring and commemorating persons and events in Bastrop County's illustrious past; and

Whereas, among those monuments is a granite obelisk in memory of the Confederate Soldiers of Bastrop County, who served in the army of the Confederate States of America, erected by the United Daughters of the Confederacy in 1910; and

Whereas, another monument honors Major Joseph D. Sayers, a Bastrop County officer in the Confederate Army who was later elected Governor of the State of Texas. This monument praises Sayer's efforts promoting the mission and goals of the Confederacy during the Civil War, including the expansion of slavery; and

Whereas, words, symbols, and images on these two monuments go beyond honoring veterans by subtly glorifying the Confederacy and prescribing nobility to the Lost Cause of the South; and

Whereas, it is recognized that these words, images, and symbols sustain racism and racial prejudice, and are detrimental to racial impartiality and equality for all; and

Whereas, the Bastrop County Commissioners Court desires to find alternate locations for these memorial monuments, away from the County Courthouse,

Now Therefore, Be it Resolved and Ordered that the Bastrop County Commissioners Court authorizes:

That a Committee to Oversee the Relocation of the Monuments be appointed by this Court, and

That the Committee seek alternative sites for the two monuments that reference the Confederacy, and

RESOLUTION AND ORDER REGARDING RELOCATING CERTAIN  
MONUMENTS AND MEMORIALS ON THE BASTROP COUNTY  
COURTHOUSE LAWN

That such alternative sites be discussed with any agency that may have jurisdiction, and discussed and approved by this Commissioners Court, and

That private funding be sought for the relocation of these monuments in order to minimize the cost to the public, and

That these monuments be removed from the Bastrop County Courthouse lawn to appropriate locations as soon as possible.

Considered and approved this the 27th day of July, 2020, by a vote of the Court with 4 Ayes and 0 Nays, with 1 abstention.



Paul Pape, County Judge



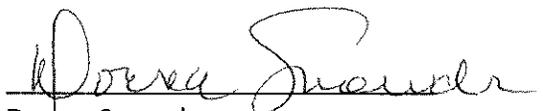
Mel Hamner  
Commissioner Pct. 1



Clara Beckett  
Commissioner Pct. 2

Abstained

Mark Meuth  
Commissioner Pct. 3



Donna Snowden  
Commissioner Pct. 4

ATTEST:



Rose Pietsch  
County Clerk

*From Bastrop County Commissioners' Court*

## **Bastrop County Heritage Park Proposed Monument Relocation Site**

The Bastrop County Heritage Park is located on a 2.07 acre site on Cool Water Drive in the Lake Bastrop Acres area of north-central Bastrop County, 6 miles north of historic downtown Bastrop. Cool Water is a county-maintained thoroughfare, easily accessed from SH 95 via Pershing Drive or Lake Bastrop Acres Drive. The site is adjacent to a large cattle ranch and the Federal Correctional Institution and across Cool Water from one single family residence, well hidden by trees.

Plans are being developed for the Heritage Park by our Bastrop County Parks Advisory Committee, headed by the County Planner and our General Services Director. The park will feature these two Confederate monuments relocated from the Courthouse, and other monuments and artifacts to tell the story of the history of Bastrop County. When completed, development plans will be reviewed and approved by the Bastrop County Commissioners Court

We plan to include interpretive signage for these monuments, as well as other features in the park. As a couple of the photos show, there is a foundation of a building when this entire area was a WW II military base, called Camp Swift, back in the 1940s.

The park will include walking trails, benches, and grassy meadows along with trees and other foliage, as well as perimeter fencing. There is abundant off-street parking on Cool Water, which has a 100-foot easement along the east side of the park.

As the photos indicate, clearing of this property has begun. We found many native species of trees such as Blackjack and Post oaks, and Loblolly pines, as well as invasive plants such as cedar (Juniper) trees, yaupon, and greenbrier.

This Heritage Park will be a well-designed and maintained place for these monuments and other relics to appropriately tell the story of our past.

IMAGES: Bastrop County Courthouse Monuments



Bastrop County Courthouse with Sayers monument (foreground) and UDC Obelisk beyond  
*KXAN*



Major Joseph D. Sayers Monument (1963)  
*Waymarking*



United Daughters of the Confederacy Obelisk (1910) on courthouse square

KXAN



Obelisk pedestal detail

KXAN

IMAGES: Proposed Relocation Site



Entrance Gate



View from Cool Water Drive



Foundation ruins of historic Camp Swift